Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 00812-03

NAO (If known, see 37 CFR 1.5)

	PCT/US2003/023768	30 July 2003 (30.07.2003)	30 July 2002 (30.07.2002)							
TITLE OF INVENTION Compounds Active in Sphingosine 1-Phosphate Signaling										
APPLICANT(S) FOR DO/EO/US UNIVERSITY OF VIRGINIA PATENT FOUNDATION; LYNCH, Kevin R. and MACDONALD, Timothy L.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. 🗓	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. X	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. X	The US has been elected (Article 31).									
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by the International Bureau.									
	c. $\overline{\mathbf{X}}$ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. is attached hereto.									
	b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).								
7. X	Amendments to the claims of the Intern	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	a. are attached hereto (require	ed only if not communicated by the Internat	ional Bureau).							
	b. have been communicated b	b. have been communicated by the International Bureau.								
	c. have not been made; however	ver, the time limit for making such amendm	ents has NOT expired.							
	d. X have not been made and will not be made.									
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items	s 11 to 20 below concern document(s)	or information included:								
11.	An Information Disclosure Statement u	nder 37 CFR 1.97 and 1.98.								
12.	An assignment document for recording	. A separate cover sheet in compliance wit	h 37 CFR 3.28 and 3.31 is included.							
13. X	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16.	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. X	Other items or information: Small Entity Statement; Post Card Receipt									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. A public this form and/or suggestions for reducing this burden, should be sent to the Chief.

PTO-1390 (Rev. 12-2004)

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION			ATION NO.	ATTORNEY'S DOCKET NUMBER						
10/523337			PCT/US200	PCT/US2003/023768			00812-03			
21. The followi	ng fees are submitted:	Applicant use	Office use only							
X a) Basic na	ational fee	\$ 300.00								
X b) Examin	ation fee	\$ 200.00	<u> </u>							
X c) Search f	fee	\$ 500.00	 							
	TOTAL OF ABOVE CA	CULATION	IS =		\$1000.00	\$ 1,000.00	1			
listing or co	ee for specification and d mputer program listing fil 0 sheets of paper or fract									
Total Sheets	Extra sheets		r of each additional 50 or fraction of (round up to a whole number)							
123 - 100 =	23 /50 =		1		x \$250.00	\$ 250.00				
	30.00 for furnishing the or date (37 CFR 1.492(e)).	e earliest	\$ 0.00							
CLAIMS NUMBER I		LED NUMBER EXTRA RATE		RATE	\$	-				
Total claims	40	- 20 =	20	х	\$50.00	\$ 1,000.00				
Independent clai	ims 6	- 3 =	3	х	\$200.00	\$ 600.00				
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$ 360.00				
			TOTAL OF ABOVE			\$ 3,210.00				
Applicant c by ½.	claims small entity status.	See 37 CFR	R 1.27. The fees indicated at	oove a	re reduced	\$ 1,605.00				
				;	SUBTOTAL =	\$ 1,605.00				
_	of \$130.00 for furnishing thate (37 CFR 1.492(f)).	\$ 0.00								
		\$ 1,605.00								
	g the enclosed assignmer e cover sheet (37 CFR 3.	\$ 0.00								
		\$ 1,605.00								
			\$							
			\$							
a. A chec	ck in the amount of \$		to cover the abo	ve fee	s is enclosed.					
b. X Please charge my Deposit Account No. <u>50-0423</u> in the amount of \$ <u>1,605.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit										
d. Fees a	Account No. 50-0423 . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not									
be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed										
and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO:										
Rodney L. Sparks Rodney						ey L. Sparles	. [
	Jniversity of Virginia Patent Foundation SIGNATURE				SIGNATURE	for solver.				
•	1004 W W				Rodney L. S	narks				
Charlottesville, VA 22903 RAME						parks				
53,625										
	REGISTRATION NUMBER									

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE U. S. RECEIVING OFFICE

Applicant:

Kevin R. Lynch, et al.

Customer No.

34444

International

PCT/US2003/023768

Art Unit:

Unknown

Serial No.

Examiner:

Unknown

International

Filing Date:

30 July 2003

Title:

Compounds Active in Sphingosine 1-Phosphate Signaling

Certificate of Mailing Under 37 CFR §1.10 Express Mail No. ER 689 334 216 US

I hereby certify that this correspondence is being deposited with the United States Postal Service using Express Mail Service under 37 C.F.R. §1.10 on the date indicated below and is addressed to Mail Stop PCT, U.S. Receiving Office, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: January 28, 2005

Ann Carr

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR §1.27 (a)(3)(C))

Mail Stop PCT U.S. Receiving Office Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With respect to the captioned invention, I hereby state that I am an official empowered to act on behalf of the University of Virginia Patent Foundation, a nonprofit organization, and that the University of Virginia Patent Foundation is Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) and that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR §1.27(a)(3)(C), for purposes of paying reduced fees under §§41(a) and (b) of Title 35, United States Code.

I hereby state that rights under contract or law remain with and have been conveyed to the University of Virginia Patent Foundation.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §§1.27(g)(2)).

Respectfully submitted,

Rodney L. Sporks

January 28, 2005

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Rodney L. Sparks, In-House Patent Counsel University of Virginia Patent Foundation 1224 West Main Street, Suite 1-110 Charlottesville, VA 22903

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